10/573543

## IAPS Rec'd PCT/PTO 27 MAR 2006

1 Description

2

3 Optical Module and Optical System

4

- 5 The invention relates to an optical module including a
- 6 circuit carrier, a housed semiconductor element which is
- 7 arranged on the circuit carrier, and a lens unit for
- 8 projecting electromagnetic radiation onto the semiconductor

1

- 9 element, wherein the housed semiconductor element and the
- 10 lens unit are formed in two parts.

11

- 12 The invention also relates to an optical system including an
- optical module which is formed in this way.

14

- Optical modules and systems of the type in question are used
- in motor vehicle technology in particular.

17

- 18 Electromagnetic radiation from various frequency ranges can
- 19 be used in this context, wherein, in addition to the visible
- 20 light by means of which applications such as Lane Departure
- 21 Warning (LDW), Blind Spot Detection (BSD) or Rear View
- 22 Cameras typically function in the external environment of a
- 23 motor vehicle, infrared radiation which is invisible to
- 24 humans is particularly preferred in the case of applications
- in the internal environment of a motor vehicle, such as Out
- of Position Detection (OOP), or in the case of additional
- 27 external lighting for a Night Vision System.

- 29 Stringent requirements exist in the case of applications in
- 30 the interior or exterior of a vehicle, due to external
- 31 effects such as temperature, humidity, contamination and
- vibration. The typical service life of a system in a vehicle
- is 10 to 15 years, wherein only extremely low failure rates

are tolerated, and therefore only very slow aging is 1 permissible in the components of an optical system of the 2 type cited at the beginning. 3 4 Since the installation space of optical modules or optical 5 systems is very restricted in many cases, additional 6 difficulties exist in the implementation of said optical 7 systems. Using conventional means, it is therefore extremely 8 difficult to construct a hermetically sealed and reliable 9 unit comprising a camera chip (CCD or CMOS sensor) and an 10 optics system. 11 12 In order for a camera system consisting of an image sensor 13 (currently CCD or CMOS) and a lens system to achieve an 14 adequate sharpness of image, the sensor and optics 15 components must be compatible with each other in a manner 16 17 which is geometrically very accurate. The tolerance range for the distance from camera chip to optics system in the z-18 axis is usually in the range of a few hundredths of a 19 millimeter, in order to achieve an optimally sharp image for 20 a specific focal depth range. This is problematic for so-21 called fixed-focus systems in particular, since these may be 22 at best moderately subject to tolerances during 23 manufacturing. An offset of the camera chip in relation to 24 25 the optics system in the x-axis or y-axis also has the consequence that the optical system "squints" under certain 26 circumstances, i.e. the image is cut off at one edge 27 (horizontal or vertical) in each case because no more pixels 28 are present there as a result of the offset, and said pixels 29 should be available for precautionary reasons. 30

- 32 A further problem is presented by so-called "tilt", i.e. a
- 33 tilting of the camera chip about the x-axis or y-axis,

causing the image to exhibit unsharpness gradients in a 1 horizontal or vertical direction. It is also possible for 2 "rotation" to occur, i.e. a rotation about the z-axis of the 3 camera chip in relation to the optics system. 4 5 Nearly all of the camera systems which are currently 6 available on the market and are supplied with a fixed focus 7 setting require a further tuning step during manufacturing, 8 in which step the distance from the camera chip to the optics system along the z-axis is set and fixed at this 10 value. This is done e.g. by means of a thread and a 11 corresponding locking screw or an adhesive bond. A tuning 12 step might also be required for the x-y offset or, if this 13 does not take place, a correspondingly bigger sensor which 14 equalizes the tolerances via an increase in pixels can be 15 provided. Software calculation and calibration of the 16 "rotation" is also known. Since the available information is 17 sharp in other respects, the pixels merely have to be 18 reassigned in a kind of "calibration process". However, it 19 is not possible for any more information to be present at 20 the edges or corners, since these have been cut off. 21 Finally, a purely mechanical reduction of "tilt" and 22 "rotation" between chip and optics system in the case of 23 normal systems can generally only be achieved by high-24 precision manufacturing and assembly or by a tuning of 25 26 components. 27 For reasons of cost and aspects of quality assurance, 28 however, it should be possible to produce cameras for 29 specific low-cost applications, such as e.g. automotive, 30 industry, digital cameras, mobile phones, toys, etc., with a 31 minimum of adjustment operations between optics system and 32 camera chip, i.e. without performing focus settings in 33

relation to the optical surface of the CMOS or CCD sensor. 1 This essentially conflicts with the cited requirements. 2 3 One possibility for developing a focus-free system is to 4 reduce the total number of possible tolerances and elements, 5 such that the module or system functions without adjustment 6 at least within a specific range of distances and 7 temperatures by virtue of its design. When using the 8 invention e.g. in the context of a passenger protection 9 system in a motor vehicle, to which the present invention is 10 not restricted, however, it should be possible to quarantee 11 sharp images at distances of e.g. 15 cm to 130 cm and at 12 temperatures of e.g. -40°C to + 105°C. The fewer the elements 13 in the tolerance chain, the more readily this can be 14 15 implemented. In the case of housed semiconductor elements, a large part of the tolerance chain relates in particular to 16 the required soldered joints and possibly adhesive joints or 17 similar between chip and circuit carrier. 18 19 By using only one lens, it is possible to avoid giving rise 20 to additional optical tolerances as a result of a 21 complicated lens structure. The lens holder itself, which is 22 23 preferably made of plastic, can be connected to the lens assembly in various ways, such that it is always possible to 24 ensure an exact optical alignment of the lens assembly and 25 the semiconductor element in relation to the lens holder or 26 the lens assembly. 27 28 However, in the case of systems which to a large extent 29 exhibit a conventional structure comprising objective and camera chip, wherein the camera chip or semiconductor

30 31 element is deposited in a housing on a suitable circuit 32 carrier, it is difficult to circumvent the cited problems in 33

- 1 their entirety and at the same time satisfy the cited
- 2 quality requirements. In fact, special measures need only be
- 3 adopted against extraneous light radiation or other
- 4 environmental effects from the front in the case of housed
- semiconductor chips, since the chip housing offers an
- 6 adequate protection from the rear e.g. for the silicon which
- 7 is transparent for IR radiation. However, the objective
- 8 itself must be aligned with the camera chip and exhibit a
- 9 defined focusing. This currently takes place using locking
- 10 possibilities which are subject to tolerances, e.g. by
- 11 screwing, adhesive bonding or similar, by means of which the
- objective is fixed relative to the camera chip on the
- 13 circuit carrier.

- 15 The invention addresses the problem of providing an optical
- 16 module and an optical system comprising a housed
- 17 semiconductor element which is arranged on a circuit
- carrier, in which the possible tolerance chain is minimized
- 19 such that, in the context of simple and inexpensive
- 20 assembly, a reliable optical quality can be provided without
- 21 alignment effort and particularly without focusing effort,
- 22 and that said quality can be maintained over the service
- 23 life of the module or system. Moreover, necessary measures
- 24 against extraneous light radiation or other environmental
- 25 effects from the front should be eliminated as far as
- 26 possible.

27

- 28 This problem is solved by the features in the independent
- 29 patent claims. Advantageous embodiments of the invention,
- 30 which can be implemented individually or in combination with
- 31 each other, are specified in the dependent claims.

32

33 The invention builds on the optical module of the type in

question, in that a support is formed, at least in sections, 1 on the housing of the semiconductor element, and a lens unit 2 is arranged on and supported by said support. This is easy 3 to implement especially when using molded plastic housings, 4 since in addition to the shape of the actual housing, the 5 edge region in particular can be configured almost without 6 restriction, in particular as a defined reference layer and 7 in particular in relation to the chip. In this way, the 8 tolerance range which is available for the focusing is kept 9 as small as possible, such that it now comprises only 10 manufacturing tolerances of the support and the lens unit. 11 Furthermore, the proposed solution has the advantage that, 12 as a result of the direct contact of lens unit and housed 13 chip, the lateral ingress of extraneous light is largely 14 prevented. 15 16 According to the invention the support is preferably formed 17 as a ring collar, which is however at least partially tilt-18 free, whereby not only is the distance and therefore the 19 focusing range advantageously kept within the required 20 dimensions, a tilting of the components in relation to each 21 other is also reduced to a minimum. 22 23 In a first development of the invention, the lens unit 24 includes a base lens, wherein the support of the lens unit 25 takes place via the base lens. This is advantageously done 26 in such a way that a design for the base lens is selected 27 which includes a surface section that is formed so as to 28 correspond to the support, e.g. is plane at least in 29 sections, wherein said surface section is positioned on the 30 supports which are formed on the housing of the 31 semiconductor element. 32

In an alternative development of the invention, the lens 1 unit includes a lens holder, wherein the support of the lens 2 unit takes place via the lens holder. This is advantageously 3 done in such a way that a design for the lens holder is 4 selected which includes a surface section that is formed so 5 as to correspond to the support, e.g. is plane at least in 6 sections, wherein said surface section is positioned on the 7 supports which are formed on the housing of the 8 semiconductor element. Because the support extends further 9 outwards, the support on the lens holder advantageously 10 further reduces risk of tilting. It also allows the 11 formation of smaller modules than modules having a support 12 via a base lens. 13 14 According to the invention, the base lens or the lens holder 15 advantageously has a collar, at least in sections, which is 16 part of the lens and is formed so as to correspond 17 essentially to a locating face which is formed on the 18 support. This is easy to implement especially when using 19 molded plastic lenses, since in addition to the optically 20 effective surface of the lens, irrespective whether this has 21 a conventionally curved or plane form, the edge region can 22 be configured almost without restriction, in a similar 23 manner to the chip housing according to the present 24... invention. 25 26 In a particularly preferred embodiment, a locating face is 27 formed on the support, at least in sections. The locating 28 face can be non-conical or, in a development of the 29 semiconductor element, tapered and in particular conical 30 considered in the direction of the optical axis of the 31 module. In such a configuration, a type of self-centering 32 can advantageously occur, thereby ensuring an exact 33

positioning of the optics system in relation to the chip 1 with reference to the x-axis and y-axis as well as reducing 2 the "tilt" to a minimum. 3 4 The invention also consists of an optical system including 5 an optical module of the type cited above. In this way, the 6 advantages of the optical module also become valid in the 7 context of an overall system. 8 9 The invention is based on the discovery that by forming, at 10 least in sections, a support directly on the housing of a 11 housed semiconductor element, even in the case of 12 conventionally housed semiconductor chips where 13 (independently of the optics system) only the component for 14 a standard SMD is loaded, a camera module can be constructed 15 in which it is possible to dispense with any mechanical 16 focus setting. Fully automatic manufacturing of the module 17 is therefore possible, having the advantage that the 18 manufacturing and assembly costs are reduced in the case of 19 20 large numbers of units. Furthermore, the optical module can be developed without active parts such as threads or locking 21 screws, thereby resulting in greater reliability. As a 22 23 result of the limited tolerances in the construction, including in the x-axis and y-axis, the chip surface need 24 not be unnecessarily large, thereby making the camera chip 25 cheaper. The construction of such a module can be configured 26 to be relatively compact, which is advantageous in that the 27 camera module can also be used in applications that are 28 subject to conditions of limited space. Finally, the 29 30 integrative construction also advantageously offers protection against extraneous light radiation. 31

32

33 The invention is particularly useful in the implementation

- of video systems, possibly in combination with radar 1 systems, ultrasound systems or the like in the field of 2 motor vehicles. 3 4 The invention is now explained by way of example with 5 reference to preferred embodiments and with reference to the 6 accompanying drawings in which, schematically, 7 8 Fig. 1 shows a sectional view of an optical module 9 10 according to the invention; 11 Fig. 2 shows a magnified section X of the module as per 12 Fig. 1; 13 14 Fig. 3 shows a semiconductor element which is formed in 15 accordance with the invention. 16 17 In the following description of the preferred embodiments of 18 the present invention, identical reference signs designate 19 identical or comparable components. 20 21 Using different sections and perspectives, Figures 1 to 3 22 show an optical module including a circuit carrier 10, a 23 housed (packaged) semiconductor element 12 which is arranged 24 on the circuit carrier 10, and a lens unit 14, 16, 18, 20, 25 26 21 for projecting electromagnetic radiation onto the semiconductor element 12. The lens unit 14, 16, 18, 20, 21, 27 which is formed separately from the housed semiconductor 28 element 12, comprises a lens holder 14 and a lens assembly 29 16, 18, 20, 21 including at least one lens 20 and possibly 30 an aperture 21. 31
- 33 The invention provides for a support 13a to be formed, at

- least in sections, on the housing 13 of the semiconductor 1 element 12, and the lens unit 14, 16, 18, 20, 21 to be 2 arranged and supported on said support 13a. The support of 3 the lens unit 14, 16, 18, 20, 21 takes place either via the 4 lens 16, which is preferably formed as a so-called base lens 5 16, or the lens holder (not shown). In this regard, base 6 lens 16 or lens holder feature, at least in sections, a 7 surface section 16a which is formed so as to correspond to 8 the support 13a, has a plane form by way of example in the 9 figures, and is positioned on the support 13a which is 10 formed on the housing 13 of the semiconductor element 12. 11 Moreover, the base lens 16 or the lens holder features, at 12 least in sections, a collar 16b which is formed so as to 13 correspond essentially to a locating face 13b which is 14 formed on the support 13a. The support 13a is therefore 15 preferably formed in the shape of a ring collar 13a. The 16 locating face 13b of the ring collar 13a is preferably 17 conically formed considered in the direction of the optical 18 axis 33 of the module, such that it is advantageously 19 easier, not just for automated manufacturing, to achieve a 20 type of self-centering of adjacent components, in this case 21 lens 16 and support 13a. 22 23 Provision is preferably made for a lens assembly 14, 16, 18, 24 20, 21 including a plurality of lenses 16, 18, 20 and 25 26 possibly at least one aperture 21 in the form of a housing. The optical quality can be improved by means of an objective 27 including a plurality of lenses, this also being possible in 28 the context of the present invention, in particular because 29
- 30 it is possible to work with small tolerances. In this
- 31 context, it is also particularly advantageous that the
- lenses 16, 18, 20 and possibly the aperture 21 are in direct
- 33 contact with each other. This practically excludes

- 1 variations in the lens assembly 16, 18, 20, 21 in a z-
- 2 direction, i.e. in the direction in which the lenses are
- 3 sequential. The tolerances are now only dependent on the
- 4 lens assembly 16, 18, 20, 21 itself. It is likewise
- 5 particularly useful that the relative positions of the
- 6 lenses to each other are determined by the geometry of the
- 7 lenses 16, 18, 20 and possibly apertures 21 themselves. The
- 8 arrangement of the lenses in an x-y direction can also be
- 9 determined by the lenses themselves, namely by configuring
- 10 the locating faces of the lenses or apertures
- 11 correspondingly.

12

- 13 The lenses 16, 18, 20 or apertures 21 which are held in the
- 14 lens holder 14 are therefore advantageously formed in such a
- 15 way that they assume a defined position relative to each
- other within the lens holder 14. Furthermore, at least one
- of the lenses 20 is configured in such a way that it engages
- 18 with the lens holder 14 and therefore also occupies a
- 19 defined position relative to the semiconductor element 12.
- 20 In this way, all lenses 16, 18, 20 are aligned relative to
- the semiconductor element 12.

22

- 23 In addition, this alignment is not jeopardized as a result
- of the lens holder 14 being connected to the circuit carrier
- 25 10, e.g. via a screw connection 23. The housed semiconductor
- 26 element 12 is arranged on the circuit carrier 10 via lead
- 27 frames 30. Provision can also be made for an adhesive joint
- 28 22 or other known connection methods.

- 30 It is particularly useful that just one of the lenses or
- apertures is directly in contact with the lens holder (not
- 32 shown). Since the lenses determine their relative positions
- 33 among themselves, it is sufficient to fix a single specific

lens or aperture to the lens holder. In this way, the whole lens assembly is oriented in relation to the semiconductor 2 element, whereby ultimately the advantageous optical quality 3 can be guaranteed. In this context, it is particularly 4 advantageous if the single specific lens is connected to the 5 lens holder in a waterproof and dust-proof manner. It is 6 advantageous if the uppermost lens is selected as the lens 7 which engages with the lens holder for sealing purposes. 8 This can be achieved by connecting the single specific lens 9 to the lens holder by means of ultrasound bonding, laser 10 welding or adhesive bonding, for example, alternatively or 11 additionally using screws and/or a cementing compound in 12 13 some cases. 14 Provision can also be made for the lens assembly to be 15 snapped via catching means (likewise not shown) into the 16 region holding the lenses. This can also ensure that exact 17 positioning is achieved. It should also be emphasized that 18 this option ensures simpler separation possibilities between 19 the lenses and the remaining components, in particular the 20 expensive semiconductor element. The sealing effect can be 21 provided in a particularly advantageous manner, particularly 22 in the context of a snap-in assembly, if the lenses feature 23 a hard and a soft component, wherein the soft component is 24 arranged at the circumference of the lens for the purpose of 25 sealing. The soft component also satisfies the general 26 requirement that the lenses must not be subjected to any 27 stresses when they are snapped in; stresses would always 28 result in an adverse effect on the optical properties. 29 30 The lens assembly 16, 18, 20, 21 is preferably held in the 31 lens holder 14 by means of a holding element 15 (molded 32 ring). The holding element 15 preferably has a hard 33

component 15a and, at least in sections, a permanently 1 elastic component 15b. A permanently elastic component 15b, 2 which is preferably circumferentially formed, can be used in 3 particular for sealing the lens assembly 16, 18, 20, 21 4 against humidity and contamination at the same time - in 5 addition to its specific function of offsetting any stresses 6 which may occur due to mechanical and/or thermal conditions. 7 The permanently elastic component 15b is preferably formed 8 on the circumference which fits against the lens 20. In the 9 region of the harder component 15a, the holding element 15 10 is arranged on the region 14 which holds the lenses, e.g. by 11 12 means of ultrasound bonding, laser welding, adhesion, riveting, molding, or other connection method which is 13 similarly easy to automate. Screw connections and snap-in 14 connections are also conceivable. The hard component 15a of 15 the holding ring 15 preferably comprises a thermoplastic 16 material. A permanently elastic component 15b which 17 preferably comprises thermoplastic elastomers (TPE) or 18 silicone or similar has proven itself accordingly. For the 19 20 purpose of providing an integrated and easily manageable component 15, the permanently elastic component 15b is 21 preferably molded onto the hard component 15a or vice versa, 22 e.g. using a two-component injection method. 23 24 Furthermore, the prevention of undesired optical effects, 25 particularly due to lateral light ingress, by means of 26 blackening and/or matting or by using total reflection (not 27 28 shown) can be particularly advantageous. These are examples of suitable measures. 29 30 Finally, the invention usefully allows the module to be 31 connected to, particularly soldered onto (e.g. by means of 32 hot-bar soldering), a rigid circuit board (these are also 33

known as riqid-flexible systems) via a flat cable or in 1 particular by means of a flexible PCB if this is used as a 2 circuit carrier. This is a particularly flexible solution 3 for connecting the circuit carrier 10 or the module to a 4 control unit or circuit board (not shown). 5 6 As a result of the formation, at least in sections, of a 7 support 13a directly on the housing 13 of a housed 8 semiconductor element 12, the invention allows the 9 construction of a camera module in which it is essentially 10 possible to dispense with any mechanical focus setting. 11 Fully automatic manufacturing of the module is therefore 12 possible, this having the advantage that the manufacturing 13 and assembly costs are reduced in the case of large numbers 14 of units. In particular, the optical module can be developed 15 without active parts such as threads or locking screws, 16 17 thereby resulting in greater reliability. Furthermore, as a result of the limited tolerances in the construction, 18 including in the x-axis and y-axis, the chip surface need 19 not be unnecessarily large, thereby making the camera chip 20 cheaper. The construction of such a module can be configured 21 to be relatively compact, which is advantageous in that the 22 camera module can also be used in applications that are 23 subject to conditions of limited space. Moreover, the 24 construction offers the possibility of devising a 25 hermetically sealed module which is well protected against 26 environmental effects such as humidity or dust. 27 28 The features of the invention, which are disclosed in the 29 present description, in the drawings and in the claims, can 30 be essential for the realization of the invention, both 31 individually and in any combination. The invention is 32 particularly suitable for applications in the interior 33

## PCTEP2004052159 / 2003P13768WOUS

15

and/or exterior of a vehicle.

2